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Commissioner for Patents
P.P. Box 1450
Alexandria, VA 22313-1450
Mail Stop: Missing Parts

On: October 20, 2004
By: Diane D. Dunn

Attorney Docket No: 0201us622

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Torben Halkier *et al.*

Application No: 10/756,813

Filed: January 12, 2004

For: A METHOD FOR PREPARING MODIFIED POLYPEPTIDES

Examiner: Unassigned

Art Unit: Unassigned

COMMUNICATION REGARDING COPY OF EXECUTED DECLARATION SUBMITTED HEREWITH

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail-Stop: Missing Parts

Sir:

Pursuant to the "Notice to File Missing Parts of Nonprovisional Application" mailed in the above-referenced application from the USPTO on September 16, 2004, Applicants hereby enclose a copy of the Declaration of the invention executed by the inventors and submitted in the great-grandparent application U.S. Patent Application Serial No. 09/611,234, filed on July 3, 2002. This copy of the declaration is intended for submission in the above-reference application USSN 10/756,813, filed January 12, 2004.

Respectfully submitted,

By: Margaret A. Powers
Reg. No. 39,804

Dated: October 20, 2004

Maxygen, Inc.
Intellectual Property Department
515 Galveston Drive
Redwood City, California 94063
Telephone: (650) 298-5300; Facsimile: (650) 298-5446
Customer No. 30560

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COPY

Attorney Docket No.: 02-105900US
Client Reference No.: 1us2, LAR/KH

DECLARATION

COPY FROM PARENT

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **A METHOD FOR PREPARING MODIFIED POLYPEPTIDES** the specification of which X is attached hereto or ___ was filed _____as Application No. _____, and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
Denmark	PA 1999 00988	July 7, 1999	Yes
Denmark	PA 1999 01196	August 27, 1999	Yes
Denmark	PA 2000 00339	March 2, 2000	Yes
Denmark	PA 2000 00804	May 18, 2000	Yes

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/160,693	October 21, 1999
60/189,503	March 15, 2000
Unknown	May 30, 2000

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Attorney Docket No.: 02-105900US
Client Reference No.: 1us2, LAR/KH

Full Name of Inventor 1:	Full Name: **Torben Halkier**		
Residence & Citizenship:	City: **Solroed Strand**	State/Foreign Country: **Denmark**	Country of Citizenship: **Denmark**
Post Office Address:	Post Office Address: **5, Lyngvej, DK-2680 Solroed Strand, Denmark**		
Full Name of Inventor 2:	**Anders Hjelholt Pedersen**		
Residence & Citizenship:	City: **Lyngby**	State/Foreign Country: **Denmark**	Country of Citizenship: **Denmark**
Post Office Address:	Post Office Address: **58, Nybrovaenget, DK-2800 Lyngby, Denmark**		
Full Name of Inventor 3:	Full Name: **Jens Sigurd Okkels**		
Residence & Citizenship:	City: **Vedbaek**	State/Foreign Country: **Denmark**	Country of Citizenship: **Denmark**
Post Office Address:	Post Office Address: **147, Ellesvinget, DK-2950 Vedbaek, Denmark**		
Full Name of Inventor 4:	Full Name: **Kim Vilbour Andersen**		
Residence & Citizenship:	City: **Copenhagen**	State/Foreign Country: **Denmark**	Country of Citizenship: **Denmark**
Post Office Address:	Post Office Address: **31, Taasingegade, DK-2100 Copenhagen, Denmark**		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
Torben Halkier	Anders Hjelholt Pedersen	Jens Sigurd Okkels
Date 04 JUL. 2000	Date July 4, 2000	Date June 30, 2000

Signature of Inventor 4
Kim Vilbour Andersen
Date July 4, 2000

declaration.doc

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(Modified) PTO/SB/81 (11-96)
Approved for use through 6/30/99. OMB 0651-0035

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION

Application Number	09/611,234
Filing Date	July 6, 2000
First Named Inventor	Torben Halkier
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	02-105900US

I hereby appoint:

X Practitioners at Customer Number 22798 (Type Customer Number Here)

22798
PATENT TRADEMARK OFFICE

AND

___ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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Please change the correspondence address for the above-identified application to:

X The above-mentioned Customer Number.

OR

Firm or Individual Name					
Address					
Address					
City		State		Zip	
Country					
Telephone		Fax			

I am the

___ Applicant.

X Assignee of record of the entire interest (*Certificate under 37 CFR 3.73(b) is enclosed*)

SIGNATURE of Applicant or Assignee of Record

Name	CHRISTIAN HANSEN
Signature	
Date	02 AUGUST 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 24, 2000

Typed or printed name	Juliana Hermes		
Signature	Juliana Hermes	Date	August 24, 2000

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PTO/SB/96 (2-98)
Approved for use through 09/30/02000. OMB 0651-0031

STATEMENT UNDER 37 CFR 3.73(b)

COPY FROM PARENT

Applicant: **Profound Pharma A/S**

Application No.: 09/611,234 Filed: **July 6, 2000**

Entitled: **A METHOD FOR PREPARING MODIFIED POLYPEPTIDES**

ProFound Pharma A/S (Name of Assignee) , a **Danish joint-stock company** (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. [x] the assignee of the entire right, title, and interest; or
2. [] an assignee of an undivided part interest

COPY

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From:_____ To:_____
 The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From:_____ To:_____
 The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From:_____ To:_____
 The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

AUGUST 2, 2000
Date

Ch. NL
Signature

CHRISTIAN HANSEN
Typed or printed name

CHIEF EXECUTIVE OFFICER
Title

OIPE
OCT 25 2004
PATENT & TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 27, 2000

PTAS

LAW OFFICES OF JONATHAN ALAN QUINE
JONATHAN ALAN QUINE, ESQ.
P.O. BOX 458
ALAMEDA, CA 94501

101430976A

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/06/2000

REEL/FRAME: 010982/0479
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
HALKIER, TORBEN

DOC DATE: 07/04/2000

ASSIGNOR:
PEDERSEN, ANDERS HJELHOLT

DOC DATE: 07/04/2000

ASSIGNOR:
OKKELS, JENS SIGURD

DOC DATE: 06/30/2000

ASSIGNOR:
ANDERSEN, KIM VILBOUR

DOC DATE: 07/04/2000

ASSIGNEE:
PROFOUND PHARMA A/S
1, AGERN ALLE
DK-2970 HOERSHOLM, DENMARK

SERIAL NUMBER: 09611234
PATENT NUMBER:

FILING DATE: 07/06/2000
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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Attorney Docket No. 02-105900US

FORM PTO-1595 (Rev. 6-93)	Recordation Form Cover Sheet (Patents Only)	U.S. Department of Commerce Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Torben Halkier

Additional name(s) of conveying parties attached? Yes

2. Name and address of receiving party(ies)

Name: Profound Pharma A/S

Internal Address: COPY FROM PARENT

Street Address:

1, Agern Alle, DK-2970 Hoersholm, Denmark

Additional names and addresses attached? No

COPY

3. Nature of conveyance:

X Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other:

Execution Date: June 30, 2000 & July 4, 2000

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is: July 6, 2000

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan Alan Quine, Esq.
Law Offices of Jonathan Alan Quine
P.O. Box 458
Alameda, CA 94501
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): ——————$40.00

☐ Enclosed X Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Gwynedd Warren
Name of Person Signing

Signature

July 6, 2000
Date

Reg. No. 45,200

Total number of pages including cover sheet, attachments and document 5

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Page 2

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1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Anders Hjelholt Pedersen
Jens Sigurd Okkels
Kim Vilbour Andersen

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

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Attorney Docket No.: 02-105900US
Client Reference No.: 1us2, LAR/KH

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Torben Halkier, of 5, Lyngvej, DK-2680 Solroed Strand, Denmark, Anders Hjelholt Pedersen of 58, Nybrovaenget, DK-2800 Lyngby, Denmark, Jens Sigurd Okkels of 147, Ellesvinget, DK-2950 Vedbaek, Denmark, and Kim Vilbour Andersen, of 31, Taasingegade, DK-2100 Copenhagen, Denmark hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: A METHOD FOR PREPARING MODIFIED POLYPEPTIDES

Filing Date: July 6, 2000

Application No.: _____; and

WHEREAS, Profound Pharma A/S, a Danish joint-stock company, located at 1, Agern Alle, DK-2970 Hoersholm, Denmark, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

NOT FOR RECORDATION

Assignment
Application No.:
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 04. JUL. 2000

Torben Halkier

SIGNATURE WITNESSED BY:

(1) Date: 04 July, 2000

Signature of Witness 1

(2) Date: 04 July, 2000

Signature of Witness 2

Dated: July 4, 2000

Anders Hjelholt Pedersen

SIGNATURE WITNESSED BY:

(1) Date: 04 July, 2000

Signature of Witness 1

(2) Date: 04 July, 2000

Signature of Witness 2

Dated: June 30, 2000

Jens Sigurd Okkels

SIGNATURE WITNESSED BY:

(1) Date: 30 June, 2000

Signature of Witness 1

(2) Date: 30 June, 2000

Signature of Witness 2

Assignment
Application No.:
Page 3

Dated: July 4. 2000

Kim Vilbour Andersen

SIGNATURE WITNESSED BY:

(1) Date: 04 July, 2000

Signature of Witness 1

(2) Date: 04 July, 2000

Signature of Witness 2

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OCT 25 2004
PATENT & TRADEMARK OFFICE

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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By_____
Amelia Groth

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Attorney Docket No. 31-105900US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Torben Halkier, et al.

Application No.: 09/611,234

Filed: July 6, 2000

For: A METHOD FOR PREPARING MODIFIED POLYPEPTIDES

Examiner: T. Prasthofer

Art Unit: 1627

APPOINTMENT OF ASSOCIATE ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby appoint the attorneys and agents at Customer Number 30560 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. The attorneys and agents at Customer Number 30560 include:

Norman Kruse, Reg No. 35,323; Sharon Fujita, Reg. No. 38,459; Joanne Petithory, Reg. No. 42,995; Peggy Powers, Reg. No. 39,804 and Christopher M. Holman, Reg. No. 40,021.

Please direct all future correspondence regarding the subject application to CUSTOMER NUMBER 30560, that is:

MAXYGEN, Inc.
515 Galveston Drive
Redwood City, CA 94063
(650) 298-5300/Fax (650) 298-5446

I am the attorney for the Applicant listed on the Power of Attorney originally filed for this application on August 24, 2000.

Respectfully submitted,



Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41,261

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

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UNITED STATES PATENT & TRADEMARK OFFICE

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(Modified) PTO/SB/81 (11-96)
Approved for use through 6/30/99. OMB 0651-0035

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION		
	Application Number	10/190,414
	Filing Date	July 3, 2002
	First Named Inventor	Torben Halkier, *et al.*
	Group Art Unit	1627
	Examiner Name	Unassigned
	Attorney Docket Number	0201us620

I hereby appoint:

X Practitioners at Customer Number 30560
Type Customer Number Here

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

X **Customer Number 30560**

OR

Firm or Individual Name	
Address	
Address	
City	
Country	
Telephone	

I am the

___ Applicant.

X Assignee of record of the entire interest (*Certificate under 37 CFR 3.73(b) is enclosed*)

SIGNATURE of Applicant or Assignee of Record

Name	Michael S. Rabson, General Counsel and Senior Vice President
Signature	
Date	April 9, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Washington, D.C. 20231-0001, on April 9, 2003

Typed or printed name	Diane D. Dunn		
Signature		Date	April 9, 2003

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OCT 25 2004
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PTO/SB/96 (2-98)
Approved for use through 09/30/02000. OMB 0651-0031

STATEMENT UNDER 37 CFR §3.73(b)

Applicant: **Torben Halkier, *et al.***

Application No.: **10/190,414** Filed: **July 3, 2002**

Entitled: **Method for Preparing Modified Polypeptides**

Maxygen ApS (Name of Assignee) , a **corporation** (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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1. [x] the assignee of the entire right, title, and interest; or
2. [] an assignee of a one-half undivided part interest

in the patent application identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [X] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: Torben Halkier, Anders Hjelholt Pedersen, Jens Sigurd Okkels, and Kim Vilbour Andersen
 To: Profound Pharma A/S
 The Assignment document was recorded in the Patent and Trademark Office at Reel 010982, Frame 0479.

2. From: Profound Pharma A/S To: Maxygen ApS
 A copy of the Change of Name document as recorded in the Patent and Trademark Office for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet below:

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignees.

April 9, 2003
Date

Signature

Michael S. Rabson
Typed or printed name

General Counsel and Senior Vice President
Title

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OCT 25 2004
PATENT & TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 27, 2000

PTAS

LAW OFFICES OF JONATHAN ALAN QUINE
JONATHAN ALAN QUINE, ESQ.
P.O. BOX 458
ALAMEDA, CA 94501

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/06/2000

REEL/FRAME: 010982/0479
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
HALKIER, TORBEN

DOC DATE: 07/04/2000

ASSIGNOR:
PEDERSEN, ANDERS HJELHOLT

DOC DATE: 07/04/2000

ASSIGNOR:
OKKELS, JENS SIGURD

DOC DATE: 06/30/2000

ASSIGNOR:
ANDERSEN, KIM VILBOUR

DOC DATE: 07/04/2000

ASSIGNEE:
PROFOUND PHARMA A/S
1, AGERN ALLE
DK-2970 HOERSHOLM, DENMARK

SERIAL NUMBER: 09611234
PATENT NUMBER:

FILING DATE: 07/06/2000
ISSUE DATE:

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SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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OCT 2 5 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 2003 PTAS
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Deputy Under Secretary of Commerce For Intellectual Property and
Deputy Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

SEPTEMBER 10, 2003

MAXYGEN, INC.
MARGARET A. POWERS
515 GALVESTON DRIVE
PATENT DEPARTMENT
REDWOOD CITY, CA 94063

102421965A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/15/2003

REEL/FRAME: 013954/0225
NUMBER OF PAGES: 10

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PROFOUND PHARMA A/S

DOC DATE: 08/15/2000

ASSIGNEE:
MAXYGEN APS
1, AGERN ALLE
DK-2970 HOERSHOLM, DENMARK

SERIAL NUMBER: 10190414 0201.620US
PATENT NUMBER:

FILING DATE: 07/03/2002
ISSUE DATE:

SERIAL NUMBER: 09611234
PATENT NUMBER:

FILING DATE: 07/06/2000
ISSUE DATE:

SERIAL NUMBER: 60160693
PATENT NUMBER:

FILING DATE: 10/21/1999
ISSUE DATE:

SERIAL NUMBER: 60189503
PATENT NUMBER:

FILING DATE: 03/15/2000
ISSUE DATE:

DOCKETED on/by 9/16 / 04
Atty. MAP PA JRP
File # 0201.620US

SERIAL NUMBER: 60207793
PATENT NUMBER:

FILING DATE: 05/30/2000
ISSUE DATE:

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
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OCT 25 2004
PATENT & TRADEMARK OFFICE

04-17-2003

102421965

Attorney Docket No. 0201us620

FORM PTO-1595 (Rev. 6-93) 4-15-03

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1. Name of conveying party(ies):

Profound Pharma A/S

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: **Maxygen ApS**

Internal Address:

Street Address: **1, Agern Alle**
DK-2970 Hoersholm
Denmark

Additional names and addresses attached? No

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3. Nature of conveyance:

☐ Assignment ☐ Merger

☐ Security Agreement ☒ Change of Name

☐ Other:

Execution Date: **August 15, 2000**

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): **10/190,414; 09/611,234** B. Patent No(s)

Additional numbers attached? **Yes**

FINANCE SECTION
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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Margaret A. Powers
Maxygen, Inc.
Patent Department
515 Galveston Drive
Redwood City, California 94063
USA

6. Total number of applications and patents involved **2**

7. Total fee (37 CFR 3.41): ——————$40.00

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Margaret A. Powers
Name of Person Signing

Margaret A. Powers
Signature

April 9, 2003
Date

Atty Reg. No. 39,804

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(Continued from Page 1)

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(Continued from Page 1)

A. Patent Application No.(s)	B. Patent No.(s)
60/160,693 .110	
60/189,503 .410	
60/207,793 .510	
Denmark PA 1999 00988	
Denmark PA 1999 01196	
Denmark PA 2000 00339	
Denmark PA 2000 00804	

0201.620US

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CERTIFIED TRANSLATION

THE DANISH COMMERCE AND COMPANIES AGENCY

CVR NO 21637289
Printed: 17.02.2003
Page 1

The Danish Commerce and Companies Agency
Kampmannsgade 1
1780 Copenhagen V
E-mail ckk@eogs.dk

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COMPILED SUMMARY

COMPANY NAME:
MAXYGEN ApS

Latest registration date:	23.08.2002	Date of incorporation:	19.04.1999

Date of latest articles of association: 28.05.2001

Secondary names: PROFOUND PHARMA ApS (MAXYGEN ApS)
PROFOUND PHAMACEUTICALS ApS (MAXYGEN ApS)

Address of registered office: Agern Allé 1
2970 Hørsholm
Denmark

Municipality of registered office: Hørsholm

Objects: The company's objects are to carry on trade, industry and any other related activities.

Share capital: DKK 1,000,000.00

Promoters: Claus Tycho Bræstrup, Group President
Badstuestræde 17, 3.
1209 Copenhagen K
Denmark

Thue Walter Schwartz, Professor
Steen Blichersvej 13, st.
2000 Frederiksberg
Denmark

Board of directors: Russell John Howard
Chairman
12700 Viscaino Road
CA 94022 Los Altos Hills
USA

Knud Aunstrup
Søparken 7
Nødebo
3480 Fredensborg
Denmark

THE DANISH COMMERCE AND COMPANIES AGENCY

CVR NO 21637289
Printed: 17.02.2003

The Danish Commerce and Companies Agency
Kampmannsgade 1
1780 Copenhagen V
E-mail ckk@eogs.dk

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COMPILED SUMMARY

COMPANY NAME:
MAXYGEN ApS

Balkrishan Gill
100 Pemberton Steps
CA 94114 San Francisco
USA

Micheal Sean Rabson
17 Parker Avenue
CA 94027 Atherton
USA

Lise Abildgaard Ryberg, Msc Engineering
Mosevej 10
2830 Holte
Denmark

Management board: Lise Abildgaard Ryberg, MD, Msc Engineering
Mosevej 10
2830 Holte
Denmark

Power to bind the company: The company shall be bound by the signature of Russell Hohn Howard or of Michael Sean Rabson or of Balkrishan Gill or by the joint signatures of the chairman of the board of directors and a managing director or any one member of the board of directors or by the joint signatures of all members of the board of directors.

Auditor: ERNST & YOUNG STATSAUTORISERET
REVISIONSAKTIESELSKAB
Tagensvej 86
2200 Copenhagen N
Denmark

Accounting reference period: 01.01 - 31.12

Initial accounting reference period: 19.04.1999 - 31.12.1999

THE DANISH COMMERCE AND COMPANIES AGENCY

CVR NO 21637289
Printed: 17.02.2003

The Danish Commerce and Companies Agency
Kampmannsgade 1
1780 Copenhagen V
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COMPILED SUMMARY

COMPANY NAME:
MAXYGEN ApS

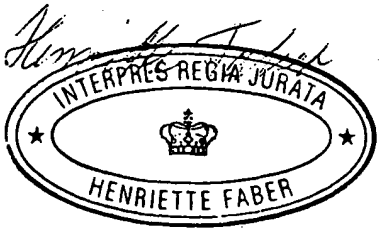
The company has been recorded in the Central Business Register.
Registration formalities have been completed.
This is certified to be a true copy.

[*signed*]
Tove Dyppel

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I, the undersigned Henriette Faber,
State-Authorised Translator and Interpreter,
hereby certify that the preceding text is a true and
faithful translation of the attached text in the Danish language
produced by me this 2 April 2003.

Witness my hand and official seal



ERHVERVS- OG SELSKABSSTYRELSEN

CVR-NR 21637289
Udskrevet 17.02.2003
Side 1

Erhvervs- og Selskabsstyrelsen
Kampmannsgade, 1
1780 København V
E-post ckk@eogs.dk

SAMMENSKREVET RESUME

SELSKABSNAVN:
MAXYGEN ApS

Seneste registrering: 23.08.2002
Stiftelses-dato: 19.04.1999

Seneste vedtægtsdato: 28.05.2001

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Binavne: PROFOUND PHARMA ApS (MAXYGEN ApS)
PROFOUND PHAMACEUTICALS ApS (MAXYGEN ApS)

Hjemsteds-adresse: Agern Allé 1
2970 Hørsholm

Hjemsteds-kommune: Hørsholm

Formål: Selskabets formål er at drive handel industri, samt anden dermed beslægtet virksomhed.

Anparts-kapital: Kr. 1.000.000,00

Stiftere: Koncerndir Claus Tycho Bræstrup
Badstuestræde 17, 3.
1209 København K

Professor Thue Walter Schwartz
Steen Blichers Vej 13, st.
2000 Frederiksberg

Bestyrelse: Russell John Howard
formand
12700 Viscaino Road
CA 94022 Los Altos Hills
USA

Knud Aunstrup
Søparken 7
Nødebo
3480 Fredensborg

TD

ERHVERVS- OG SELSKABSSTYRELSEN

CVR-NR 21637289
Udskrevet 17.02.2003
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Erhvervs- og Selskabsstyrelsen
Kampmannsgade 1
1780 København V
E-post ckk@eogs.dk

SAMMENSKREVET RESUME

SELSKABSNAVN:
MAXYGEN ApS

Balkrishan Gill
100 Pemberton Steps
CA 94114 San Francisco
USA

COPY

Micheal Sean Rabson
17 Parker Avenue
CA 94027 Atherton
USA

Civ.ing Lise Abildgaard Ryberg
Mosevej 10
2840 Holte

Direktion: Civ.ing, adm.dir. Lise Abildgaard Ryberg
Mosevej 10
2840 Holte

Tegningsregel: Selskabet tegnes af Russell Hohn Howard eller af Michael Sean Rabson eller af Balkrishan Gill eller af bestyrelsens formand i forening med en administrerende direktør eller et medlem af bestyrelsen og af den samlede bestyrelse.

Selskabs-
revisor: ERNST & YOUNG STATSAUTORISERET REVISIONSAKTIESELSKAB
Tagensvej 86
2200 København N

Regnskabsår: 01.01 - 31.12

Første regnskabsperiode: 19.04.1999 - 31.12.1999

TD

ERHVERVS- OG SELSKABSSTYRELSEN

CVR-NR 21637289
Udskrevet 17.02.2003
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Erhvervs- og Selskabsstyrelsen
Kampmannsgade 1
1780 København V
E-post ckk@eogs.dk

SAMMENSKREVET RESUME

SELSKABSNAVN:
MAXYGEN ApS

Selskabet er optaget i registret.
Registrering er sket.
Udskriftens rigtighed bekræftes.

Tove Dyppel

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